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# Modified tree structure for location management in mob environments

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#### **Index Terms:**

tree data structures; computer network management; data communication; lan radio; tracking; location management; mobile environments; modified tree str data structure; tree location database structure; root; search requests; set-ary network; k-ary butterfly; neighboring geographical regions; location data man handoffs; transient faults

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### Modified Tree Structure for Location Management in Mobile Environments\*

(Extended Abstract)

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#### Abstract

In this paper we suggest a new data structure for location management in mobile networks. The data structure is based on the tree location database structure. We suggest replacing the root and some of the higher levels of the tree with another structure that balances the average load of search requests. For this modification we use a set-ary butterfly network, which is a generalization of the well-known k-ary butterfly. We also suggest modifying the lowest level of the tree in order to reflect neighboring geographical regions more accurately and to support simple location data management. The modification of the lowest level also supports simple handoffs. The update of the proposed location database ensures correct location data following any number of transient faults that corrupt the location database information and thus is self-stabilizing.

#### 1 Introduction

Mobile computers using wireless communication are becoming increasingly prevalent. This trend grows with the availability of powerful portable computers and the construction of infrastructure for wireless communication used by cellular phones. In the near future, new computer services will accompany us wherever we are: mail, local news, local information, etc. (See, e.g., [5, 6]). Location management is an important task for mobile systems. Whenever a connection is to be established with a mobile computer, knowledge of the location of this mobile computer is required. Mobile systems have to cope with frequent location updates and inquiries. Thus the distributed database used for location management, as well as the

update and search (inquiry) procedures, have great influence on the performance of the system. The location database is maintained by location servers which are located in a physical wired network of fixed location hosts. Any fixed host may act as a location server, i.e., have a process dedicated to maintaining the location database and answering inquiries concerning the location of a mobile host. The task of the location servers is to update the location database whenever a mobile host decides to relocate, and to answer location inquiries. Next we describe several approaches for location management.

One solution is a centralized location database that is maintained at a single site by a single location server. This solution implies a severe bottleneck in the performance, since every update or search is executed by the single location server. Moreover, the cost (i.e. number of operations in the database) of an update for a relocation is fixed and does not depend on the distance between the previous and current locations. For example, a movement within a city and a movement to a new continent are treated the same, yet many movement are likely to take place within a city, while movements to another continent are rare.

A distributed and hierarchical approach for location management is a tree structure of location servers (e.g., [5, 6]). The tree structure is embedded in the fixed network. The leaves of the tree are mobile support stations. Each mobile support station is responsible for the communication with mobile hosts within a small geographic region called a cell. Each mobile support station maintains a list of the mobile hosts within its cell. Several mobile support stations are connected to a single location server, which is their parent in the tree structure. Every non-leaf location server,  $s_i$ , has a list, list, of the mobile hosts for every j'th child of s<sub>i</sub>. list; contains the identifiers of the mobile hosts that are in the cells of the leaves of the subtree rooted at  $s_j$ . The tree structure of location servers is both distributed and hierarchical and hence fits better than

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the centralized solution discussed above.

Another location management scheme is based on home location servers (e.g., [5]). In this scheme, each mobile host is registered in a fixed home location server located in the fixed network. The current location of the mobile host is maintained at this home location server. Whenever a mobile host, m, moves from one cell to another (or after some constant number of moves when forwarding pointers are used), the address in the fixed home location server has to be updated. This approach might be efficient when the mobile host is almost always close to its home location server. However, for the cases of high mobility and when m is far from its home location server. many long distance updates have to take place. Moreover, in some cases a search inquiry is for a mobile host with special attributes within a geographic region, e.g., search for a medical doctor within a short distance. The tree structure naturally supports such inquiries. An inquiry message may be sent directly to the location server that is a root of a subtree including every mobile support station in some geographical region. In contrast, for the home location server it is hard to find a medical doctor that is present in the region but has a distant home location server.

This paper investigates the properties of the tree structure and suggests a modified "tree" structure for location management. We define the level of a node s in a tree to be the number of tree links in the path from the root of the tree to s, e.g. the level of the root is 0. The first observation made is related to the upper levels of the tree structure - where upper (lower) levels are the levels close to (far from, respectively) the root. An initiator of a location inquiry for a highly mobile host is uncertain about the location of the mobile host. The tree structure is hierarchical: a node in level i has information regarding more mobile hosts than a node in level i + 1. Naturally, a location inquiry for highly mobile host is initiated by sending an inquiry message to the root of the location servers (or to a location server with relatively small level in the tree). This fact implies a heavy load on the root of the location server tree, which in turn implies a bottleneck on the performance of the location servers. For example, a search for a mobile host within Manhattan may start with the root of the subtree of the location servers that maintain the location data of all the mobile hosts in Manhattan. Since the number of mobile hosts in such a dense area may be big, this location server will be overloaded with inquiries. We suggest a new tree structure for such cases that preserves the benefits of the tree and balances the inquiry load. Interestingly, the solution is based on the well known k-ary butterfly network (cf. [7]).

The second observation is related to the lower levels of the tree structure. The leaves of the tree correspond to geographic regions. Movements between neighboring geographic regions have high probability of happening. In order to minimize the location updates, one would like to guarantee that any two neighbors will have the same parent in the tree; however this would result in there being a single parent for all leaves in the tree, which is a non-hierarchical structure. A tree structure with h > 1 levels may not be symmetric in the following sense: some neighbors have a common parent while others do not. In fact, there can be leaves corresponding to neighboring geographic regions whose only common ancestor is the root of the tree. Thus, the maximal delay for completing a location update depends on the number of levels in the tree. An inquiry initiated during such a slow location update might not result in the address of the mobile host.

Some techniques of using forwarding pointers, instead of updating the location servers' data, have been proposed to cope with the delay in the updates (e.g., [4, 1]). The pointer chain is extended whenever the mobile host moves, until at some point an update of the hierarchical database is executed and the pointers are eliminated. Pointers solve the problem of the long delay for updates but introduce new problems—longer search, pointer management including periodic updates in order to eliminate too long pointer chains. Moreover, it is hard to recover following corruption of a pointer (due to a transient fault, say crash and recovery of a location server). We propose to use the fact that mobile hosts move from neighbor to neighbor to ensure fast updates without the use of pointers.

We suggest adding connections to the lowest level of the tree such that any two location servers that correspond to neighboring geographic regions will have either a common parent or a direct link connecting them. Note that when the regions are hexagons, at most six additional connections per leaf are required. We show that the modified lowest level of the tree solves the problem of unsuccessful inquiries due to update delay. Handoff takes place when a mobile support station moves from one cell to another during a communication session. The information transmitted to the previous mobile support station is easily forwarded to the new mobile support station through their common link. Note that handoffs and location management serve different purposes—handoff is not



support stations (or base stations). Each mobile support station is capable of transmitting and receiving messages from a limited geographical region around it. This region is called the cell of the mobile support station. Thus, the geographic area in which the wireless network service exists is partitioned into cells and a mobile support station is located in every such cell.

required unless a communication session is in progress while a mobile host moves from one cell to another, whereas location management is always required. We show how handoff executions are improved with the lower level modification. The improvement is by the simplicity of executing local broadcast (of distance i) in the presence of the additional links. Local broadcast of distance i is a broadcast initiated by a mobile support station that reaches every mobile host within cells of distance i from itself.

The wireless network consists of mobile hosts which have the capability to exchange messages with a mobile support station. The mobile host can communicate with a mobile support station that is within a short distance from itself. The mobile host may move from one cell to another while communicating with the fixed network (or with another mobile host through the fixed network). At every instance the communication is carried by the mobile support station of the cell in which the mobile host is currently located. Data on the location of mobile host is maintained in the fixed network by location servers. Any fixed host may act as a location server, i.e., have a process dedicated for maintaining the location database and answering inquiries concerning the location of a mobile host. In particular, mobile support stations may also act as location servers. The precise characterization of the location database and the update and search procedures is up to the system designer. Our focus in this paper is an hierarchical location management strategy that is based on a tree structure of location servers which we now describe. (The idea of using a tree structure has been proposed in, e.g., [5, 6]; in this paper we suggest specific schemes for update and search).

A self-stabilizing system [2] can be started in an arbitrary initial state and regains its consistency by itself. A self-stabilizing system can recover from transient faults, faults which change the state of one or more components of the system. The self-stabilization property is very important for on-going tasks such as topology update (See e.g., [3]). We view the location management task for mobile systems as a topology update under special settings — a subset of the system components (the mobile hosts) change their location frequently. Our goal is a self-stabilizing location management scheme that copes with transient faults. In other words a self-stabilizing location database must guarantee that starting with any correct or incorrect location data for the mobile hosts (resulting from arbitrary transient faults, e.g., message loss, memory corruption) eventually each mobile host can be found using the location database.

> Each mobile support station is also a location server that maintains a list of mobile hosts within its cell. Whenever a mobile host joins a cell, it is included in the list; whenever a mobile host leaves the cell, it is removed from the list. Every mobile host periodically sends an alive signal to the mobile support station. If the signal of a mobile host listed in some mobile support station does not reach the mobile support station for a predefined period of time, the mobile support station assumes that the mobile host is not (active) in the cell and removes it from the list. The location servers of the mobile support stations are connected with other location servers within the fixed network. The location servers are organized in a tree structure, where the location servers of mobile support stations are the leaves of the tree. Every  $\Delta$  leaves are connected to a single parent location server. A non-leaf location server,  $s_i$ , has  $\Delta$  subtrees (each rooted in one of its children). There is a single root location server that does not have a parent, while every other location server has a single parent.  $s_i$  maintains  $\Delta$  lists; the

We present a location management scheme that does not use forwarding pointers. The self-stabilization property is achieved easily when these forwarding pointers are eliminated. Beyond the self-stabilizing property, the modifications of the upper and lower levels of the tree also result in a more robust mobile system that can tolerate location server crashes. In the next section we describe in detail the distributed mobile environment. In Section 3 we present the modifications for the upper levels and lowest level of the tree. In Section 4 we present a self-stabilizing location management scheme. Concluding remarks are in Section 5.

#### 2 Distributed Mobile Environment

A mobile network is composed of a fixed network and a wireless network that interact with each other. The fixed network is a standard point-to-point communication network in which the communication between fixed location hosts is carried by physical wire links. Some of the fixed hosts are equipped with wireless transceivers. These fixed hosts are called mobile



j'th list,  $list_j$ , is associated with the j'th child of  $s_i$ .  $list_j$  is the list of the mobile hosts that are in the cells of the leaves of the subtree rooted at this j'th child.

We now present the outline of the update and search procedures that we use for the tree structure. These procedures are the base for the update and search procedures of the modified tree structure. The update procedure is invoked whenever a mobile support station receives an indication that a mobile host has joined or left the cell for which the mobile support station is responsible. The indication for a join event of a mobile host m (that is not listed in the mobile support station list) is an alive signal from m that is directed to the mobile support station. The indication for a leave event of m is the absence of an alive signal for a long period (the length of the period is a function of how frequently alive signals are sent and the time required for an update, as discussed in the sequel).

When a mobile host m joins a cell, say  $c_1$ , possibly due to movement from another cell c2, m's identifier is added to the list of the mobile support station of c1. This addition is reported to the mobile support station's parent by sending a join message with m's identifier. When a non-leaf location server,  $s_i$ , receives a join message from its child,  $s_i$ , for a mobile host, m, that does not exist in any of si's lists, then si includes m in list; and (when  $s_i$  is not the root  $s_i$ ) sends a join message to  $s_i$ 's parent. Otherwise, when  $s_i$  finds m's identifier in one of its lists, say  $list_k$ ,  $s_i$  (1) deletes the identifier of m from  $list_k$ , (2) sends a delete message to its k'th child and, (3) adds the identifier of m to list;. Note that in this case no join message is sent. A search inquiry for the location of a mobile host m starts with a message sent by an initiator (fixed or mobile) host to the root of the location server tree. When such an inquiry message arrives at a location server s, s searches for m in its lists. If s is not a leaf and m is found in list; then s sends the inquiry message to its j'th child. This procedure repeats itself until the inquiry message reaches a mobile support station. The mobile support station verifies that m is in its cell. Upon successful verification, the address of the mobile support station is sent to the host that initiated the inquiry.

#### 3 The Modified Tree

In the next two subsections we present the modification we propose for the upper and lower levels. Then we present the update and search procedures for a tree with modified upper and lower levels. For simplicity we assume that every location inquiry arrives at the root of the location server tree<sup>1</sup>.

#### 3.1 Modifying the Upper Levels

In this subsection we present an alternative structure for the upper levels of the tree, i.e., the levels that are closer to the root. The new structure of the upper levels improves the performance of the tree structure by spreading the load of queries. To measure this improvement, we suggest the following analysis. Let la be the average load (queries per time unit) of outside queries that reach the root of the tree. Let  $\Delta$  be the out-degree of a node in the tree. First we assume that the out-degree of every non-leaf node in the tree is  $\Delta$ ; later we relax this assumption. We assume that the load of the queries that reaches every node is evenly divided between its children. Thus, the average load for a node in level 1 is  $l_o/\Delta$ . Similarly the average load per node in level i is  $l_o/\Delta^i$ . Let h be the level of the leaves of a tree T. We present a modified tree structure for T in which the maximal average load per node is  $l_o/\Delta^i$ , for every  $1 \le i \le h$ . The modification requires  $i\Delta^i - (\Delta^i - 1)/(\Delta - 1)$  additional nodes, which is proven necessary.

Interestingly the modified upper levels for a tree with  $\Delta = 2$  is the well-known butterfly network. For out-degree  $\Delta > 2$  the modified upper levels form a kary butterfly (cf. [7]). The k-ary butterfly is a composition of trees with out-degree  $\Delta = k$ . The definition of the modified tree is naturally expanded for trees with uniform out-degree at each level and different degrees in different levels. We generalize the k-ary butterfly to a set-ary butterfly. This generalization may reflect better the current structure of the location server tree which might have different out-degrees in different levels of the tree. The number of upper levels of the tree to be modified is a function of the desired distribution of the inquiry load. If the system designer must guarantee that any location server has average load of no more than the average load of a node in level i + 1then i levels of the tree have to be modified.

The h upper levels of a tree form a tree  $T_h$  with h levels. To modify  $T_h$  we use the following construction. Let  $T_i$  be a subtree of  $T_h$  with depth i such that the leaves of  $T_i$  are leaves of  $T_h$ . The modification starts with trees of depth one i.e., h = 1.  $T_1$  is modified to  $MT_1$ .  $T_1$  contains a single root and  $\Delta_1$  leaves which are connected to this root. The construction replaces

4d.4.4 <sub>533</sub>

<sup>&</sup>lt;sup>1</sup>In reality a location inquiry for mobile host m might be addressed to a root of a subtree (say the one that is responsible for New York City) in case the initiator is sure of the area m is in. The approach we suggest can be applied to subtrees as well.



for a mobile host m to move from a cell  $c_1$  to a cell  $c_2$  at distance i, several complete updates can be executed. The mobile host triggers location updates by sending an alive message to the mobile support station of its current cell. To avoid too frequent location updates and for simplicity of the updates, we assume that the time between every two successive alive messages of a mobile host is long enough to guarantee completion of the location update. The required broadcast diameter for the search procedure, denoted d, depends on the time needed for completion of an update, the size of the cell, and the velocity of the mobile host.

To locate a mobile support station m at any given time, it is enough to communicate with the cell for which the tree location database has a record and the neighboring cells within distance d from this cell. This could be easily done when any two neighboring mobile support stations are connected by either a direct physical communication link or a fast virtual communication link. When a search for a mobile support station m arrives at a location server si that is a leaf in the tree (mobile support station) and  $s_i$  detects that m is not currently in its cell, si broadcasts the search request to cells within distance d. Then si receives an answer (found or not-found) from its neighbors and accordingly sends an answering message to the initiator of the search. Note that for this scheme to work, s; must not delete the location information concerning m for long enough time. The indication of disappearance is delayed for a period of time that ensures the completion of the next update.

Obviously, the additional (relatively short) links between neighboring location servers will result in efficient local broadcasts and will support handoffs. Note that the location management for a mobile host that moves from one cell to another takes place automatically based on the periodic alive messages sent to the closest mobile support station and does not require resources for fast response as handoff does. The modification of the lower level may support fast response required for handoffs. We call the following handoff procedure follow me handoff. The follow me handoff procedure does not use forwarding pointers. If during a communication session every packet addressed to the mobile support station is locally broadcast, then even if the mobile host moves to a new cell, the mobile host receives every packet sent to it. At the same time the mobile host notifies its session partner of its new location through the new mobile support station. Consequently, the session partner starts sending its packet to the new mobile support station.

the root with  $\Delta_1$  "root" nodes and connects each such node with all of the leaves, i.e., each such node has  $\Delta_1$ outgoing links. The construction of  $MT_{i+1}$  is defined recursively by  $MT_i$  and the outdegree  $\Delta_{i+1}$  of a root of a subtree  $T_{i+1}$ . Let  $n_i$  be the number of roots in a  $MT_i$ . The root of  $T_{i+1}$  is replaced by  $\Delta_{i+1}n_i$  roots. Each root of  $MT_{i+1}$  is connected with a single root of each of the  $\Delta_{i+1}$   $MT_i$ 's. If  $r_{i+1,k}$  is the k'th root of  $MT_{i+1}$  then  $r_{i+1,k}$  is connected to the (k-1) mod  $n_i$ + 1 root of each of the  $\Delta_{i+1}$   $MT_i$ 's. The modified tree structure supports the same search procedure as the original tree. To balance the load of outside inquiries we assume that an inquiry is sent to one of the "roots" of the modified tree that is chosen according to some portion of the identifier of the mobile host m that is to be located. Thus each root of the modified tree has to maintain the location information (in the lists) for only some portion of the mobile hosts. This in turn keeps the number of update messages smallonly the embedded tree for the mobile host for which the location update message has been sent is involved in the update.

The division of geographic regions into cells has great influence on the amount of processing needed for location updates. For example, it is important for the mobile support stations that cover Japan to have a common ancestor that is relatively close to the leaves of the tree. On the other hand, the only common location server for mobile support stations in Japan and, say, England, could be the root. With such a division the number of update messages arriving at the root is small. Therefore we also suggest that in some cases it is better to send a copy of the update messages to every root (and not only to a single root). The benefits of having identical data in each root is the possibility to place each root in a different (fixed) location. Each root will handle inquiries from its surrounding area. For example, one replicated root may be located on the west coast and another on the east coast, while both roots maintain the location data for every mobile host. If the inquiry initiator is on the west (respectively, east) coast, it starts the inquiry with a message to the root on the west (respectively, east) coast.

#### 3.2 Modifying the Lower Levels

We say that two cells  $c_1$  and  $c_2$  are at distance i from each other when a move of a mobile host from  $c_1$  to  $c_2$  must physically pass through at least i cells (excluding  $c_1$ ). A broadcast from a cell  $c_1$  to every cell of distance less than or equal to r from  $c_1$  is called broadcast with diameter 2r. We assume that during the time it takes



## 3.3 Update and Search Procedures for Modified Tree

The correct operation of our update and search procedures relies on the following assumptions: (A1) The location database is initiated with all the lists empty. (A2) The time required to complete an update (send a join message towards the direction of the root and then send a delete message towards the previous cell) is bounded by  $t_u$ . (A3) The time between two successive alive signals of a mobile host m is  $t_a > t_u$ . (A4) If mobile host m sends an alive signal at time t while m is in cell  $c_i$ , then at time  $t + t_a + t_u$  m is in a cell  $c_i$  (possibly  $c_i = c_i$ ) that is within distance d from  $c_i$ . (A5) The LocalSearch procedure (as described in the sequel) locally broadcasts the search inquiry to all the cells that are within distance d. (A6) Disappearance of a mobile host from a cell is indicated by the mobile support station of that cell when no alive signal is received for  $t_d > t_a + t_u$  period of time.

A mobile host m is active if (1) m sent an alive signal,  $a_l$ , in the last period of  $t_a$  time, and (2) either m sent alive signal,  $a_{l-1}$ ,  $t_a$  time before m sent  $a_l$ or at least tu time has elapsed from the time m sent al. Roughly speaking, the two conditions above make sure that a complete update that is related to the previous or the current location has been executed. The requirement for our location management scheme is that any search for an active mobile host m will result in communicating with m. The code of the update and search procedures of a location server si in the modified tree appears in (the upper portion of) Figure 1. The code starts with the description of the actions taken by a mobile support station (a leaf in the location server tree) upon an indication of the appearance or disappearance of a mobile host m. Note that a mobile support station has a single list that includes the current mobile hosts in the cell. Note further that the removal of m from list (of a leaf) is only for "garbage collection" reasons since a join message to another cell results in changing the path to m in the location server tree. In order to ensure that every search succeeds, it is assumed that the indication of disappearance is delayed for at least the time it takes for an update on the new location to be triggered by an alive signal and then to be completed.

The code continues with the actions taken by a nonleaf location server upon the receipt of join and delete messages. A join message for a mobile host m received from the j'th child causes the addition of mto  $list_j$ . In case m appears in another list of  $s_i$ , say  $list_k$ , then m is deleted from  $list_k$  and a delete message is sent to the k'th child. Note that join messages

are sent only towards the roots while delete messages are sent only towards the leaves. In the modified tree a node may have more than a single parent. Thus, we have to define whether the message is sent simultaneously to every parent or alternatively the precise parent(s) to whom the message is sent. Each root, r, of our modified tree maintains location information on a subset of the mobile hosts (possibly including every mobile host) defined by the mobile hosts' identifier. Therefore, update messages concerning the location of a mobile host m need to be sent to the parent(s) in the embedded tree rooted at the appropriate root(s). The function parent(m) executed by location server  $s_i$ returns the parent(s) of  $s_i$  in the embedded tree that is related to the root(s), r, that maintains location information on m.

The search procedure is activated by an outside initiator that uses the tree structure to locate a mobile host. The initiator sends a message msg = (search, m, initiator) to the root that maintains information on m and waits for a response with the address of the mobile support station of the current cell of m. When the search message reaches a mobile support station,  $s_i$ , then  $s_i$  tries to locate m by direct communication and then by local broadcast. In the code we use the function LocalSearch for this purpose. The result of the LocalSearch procedure is sent directly to the initiator of the search request.

Correctness Proof Sketch: We prove that the location management requirements hold for each mobile host m. The location data for a given mobile host m might be maintained by more than one root (and hence more than a single embedded tree) when the designer decides to have more than a single replica. Although the proof is written for the case of a single embedded tree for each mobile host m, the proof holds for the case of more than a single embedded tree for a mobile host m. Messages sent from one location server  $s_i$  to another location server  $s_j$  obey the FIFO order. Each message arrives at its destination within a bounded period of time. A location database instance is a vector of the lists of the location servers and the messages sent between any two location servers. Location servers execute steps. A step executed by location server starts with zero or one message received, then zero or more messages sent and ends with a state transition for the location server. A run is a (finite or infinite) sequence of instances and steps,  $I_1, s_1, I_2, s_2, \cdots$ such that: (1) The application of  $s_i$  to  $I_i$  results in  $I_{i+1}$ . (2) Each step  $s_i$  is executed at time  $t_i$ . For every two steps  $s_i$  and  $s_j$  (j > i),  $t_{i+1} \ge t_i$ . (3) The message delay time is obeyed, i.e., a if a message is sent



```
UPDATE PROCEDURE
 * Leaf *)
Receive indication for m in cell:
   if m & list then
     send msg := (join, m) to parent(m)
   list := list \cup m
Receive indication on disappearance of m from cell:
   send msg:=(non-active,m) to parent(m)
   list := list - \{m\}
(* Non-leaf *)
Receive msg from the j'th child, msg.type = join:
   if ∀list<sub>k</sub> msg.m ∉ list<sub>k</sub> then
       send msg to parent(msg.m)
   else Vlistk msg.m E listk do
       list_h := list_h - \{msg.m\}
       send msg := (delete, m) to the k'th child
   list_j := list_j \cup msg.m
(* Non-root *)
Receive msg from the j'th child, msg.type=non-active:
   if msg.m \in list_j then
       send msq to parent(m)
       list_j := list_j - \{msg.m\}
Receive msg from parent, msg.type = delete:
   \forall list_h, msg.m \in list_h do
       send msg to the k'th child
       list_k := list_k - \{msg.m\}
SEARCH PROCEDURE
Receive msg from parent, msg.type = search:
   if leaf then
     LocalSearch(m)
     if found then send msg:=(address) to msg.initiator
     else send msg:=(not-found) to msg.initiator
   else
     if \exists k, msg.m \in list_k then
        send msg to the k'th child
     else send msg:=(not-found) to msg.initiator
```

#### SELF-STABILIZATION

Periodically:

 $\forall k, \forall m \in list_k \text{ if } \exists j \neq k, m \in list_j \text{ then } remove(m, list_k)$  $\forall k \text{ send } msg := (refresh, list_k) \text{ to } \text{ the } k'th \text{ child}$ 

(\* Remove Static Dangling Identifiers \*)

Receive msg from parent, msg.type=refresh:

∀k, ∀m ∈ listk if m ∉ msg.list and

no pending msg = (join, m) to parent then

listk := listk - {m}

send msg:=(delete, m) to the k'th child

Figure 1: Update and Search Procedures

in  $s_i$  and received in  $s_j$  then  $t_j - t_i$  is a (positive) time period that is no longer than the message delay time.

We prove that every instance, I, of the the location database contains a single location-path for each active mobile host m. A location-path of m in I is a list of location servers  $S = s_r, s_1, \cdots s_j$  that starts with the (appropriate) root location server  $s_r$  of the (appropriate) tree and for which  $s_{i+1}$  follows  $s_i$  in S if list<sub>k</sub> of  $s_i$  includes m and  $s_{i+1}$  is the k'th child of  $s_i$  in the location server tree. We say that list<sub>k</sub> is on the location-path of m. For a given instance I, an identifier m that is not in a list on the location-path of m is called dangling.

Theorem 3.1 Our update and search procedures for a mobile host in fulfill the requirements for location management.

Sketch of proof: By assumption (A3) every update is completed before the next update starts. Thus, by induction on the number of updates (using assumption (A1) as induction base) whenever an update starts there are no dangling identifiers for m. Thus, when an update starts for a mobile host m the join message reaches a location server  $s_i$  along the locationpath  $S = s_r, s_1, \dots, s_i, s_j, \dots s_k$  of m. Then the suffix  $s_i, \dots, s_k$  is replaced by the suffix leading to the mobile support station that received the current alive signal. Then a delete message eliminates the dangling identifiers of m in the suffix  $s_j, \dots, s_k$ . By assumptions (A2) and (A3) this delete terminates before a new alive signal is sent. Assumptions (A4) and (A5) guarantee that an active mobile host is found. Assumption (A6) ensures that the location-path of an active mobile host is not eliminated.

#### 4 Self-Stabilizing Location Management

The update and search procedures presented in Figure 1 do not guarantee stabilization. One reason is that every update is triggered by an indication at the mobile support station. In case a transient fault occurs, say, causing omission of an identifier of a mobile host m from the location information at a non-leaf location server, no join message will be sent. Thus, if m does not move to a new cell and an update takes place, m is not reachable by a search that starts in the root. We continue by defining the self-stabilizing location management requirement. Starting with any (corrupted or non-corrupted) location database instance I,



a self-stabilizing location management scheme ensures that from some time forward every location database instance satisfies the following: for every active mobile host, m, there is a location-path from the (appropriate) root to a mobile support station that is within distance i from m's current location. To overcome transient faults each location server s checks periodically that a mobile host identifier does not appear in more than one list of s. This ensures the existence of at most one location-path for every mobile host. An identifier m is a static dangling identifier, at a location server s in an instance I, if (1) m is a dangling identifier at s in I, (2) there is no delete message for m pending in the link from s's parent to s in I, and (3) there is no join message for m pending in the link from s to s's parent.

The existence of static dangling identifiers might result in an unsuccessful update - every join message reaches a static dangling identifier (or a descendant of a static dangling identifier) instead of reaching the location path. Our extension for the update procedure eliminates static dangling identifiers. Each non-leaf location server, si, sends the list of mobile host identifiers, list;, to its j'th child. si's j'th child uses the list received from s; to eliminate every static dangling identifier. For this procedure we need the following assumption: (A7) A location server that sends a join message to its parent is able to detect whether the join message has been received (an acknowledgment mechanism may be used). Thus, during a join update a location server s is able to discard refresh messages that arrive after m has been included in s's lists and before m is included in s's parent's list. Towards the end of Figure 1 we present the portion of the code that is executed periodically to ensure stability.

Correctness Proof Sketch: We now state the main claims for the proof that the location management with the extended update procedure is self-stabilizing. For this proof we have to show that eventually a location database instance is reached such that there are no dangling identifiers. This ensures the correct update as proved in the previous section. In the full paper we show that from any instance of the location database that follows a finite time  $t_1$  it holds that for each location server  $s_i$ , no mobile host identifier m appears in more than one of  $s_i$ 's lists. Then we show that from any instance of the location database that follows a finite time  $t_2$  it holds that no static dangling identifier exists. These facts imply that the location management scheme presented in Figure 1 is self-stabilizing.

#### 5 Concluding Remarks

In this paper we have suggested a new structure for location management in mobile networks. The structure is based on the tree location database structure. We suggested replacing the root and some of the higher levels of the tree with another structure that balances the average load of search requests. For this modification we use a set-ary butterfly network which is a generalization of the well-known k-ary butterfly. We also suggested modifying the lowest level of the tree to reflect better the neighboring relation between geographic cells and to support simple location data management. The modification of the lower lever supports a simple follow-me handoff.

Beyond the self-stabilization property of the location database, our modification of the tree structure is a more robust than the original tree structure—if the location information of any mobile host is maintained by two embedded trees then even if one of the roots is crashed still the location of this mobile host can be found

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